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PATENT, TRADEMARK AND COPYRIGHT LAW  
AND RELATED FEDERAL AND ITC LITIGATION



DOCKET NO: 0039-6348-2SRD REISSUE

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Re: Inventor: Tomoharu TANAKA, et al.  
Serial No.: 09/134,897  
Filed: August 17, 1998  
For: MULTI-STATE EEPROM...

SIR:

Attached hereto for filing are the following papers:

**Petition for Extension of Time  
Consent of Assignee/Reissue Declaration  
Notice to File Missing Parts...  
Reissue Supplement to Notice...**

Our check in the amount of \$110.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
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Attorney of Record  
Registration No. 24,913

Robert F. Gnuse  
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\* REGISTERED PATENT AGENT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

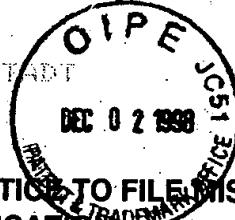
7-16  
9-8-98

09/134,897 08/17/98 TANAKA

0232/0901  
OBLOZ SIVAK MCCLELLAND MAYER & NEUSTADT  
FOURTH FLOOR  
1755 S JEFFERSON DAVIS HWY  
ARLINGTON VA 22202

Application Number:

Application to Paper Number: 0039-6348-26



NOT ASSIGNED

DEC 02 1998

**REISSUE SUPPLEMENT TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION**

09/01/98

This Reissue Supplement is an attachment to:

"Notice to File Missing Parts of Application" Filing Date Granted (PTO-1533)  
 "Notice to File Missing Parts of Application" No Filing Date Granted (PTO-1532)

The item(s) indicated below as missing must be filed within the period for reply to the attached Notice to File Missing Parts of Application to avoid abandonment.

The following items are required to complete the reissue application:

Consent of the assignee is missing. 37 CFR 1.172 requires that the reissue oath/declaration be accompanied by the written consent of all assignees.

Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

*[Handwritten signature]*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

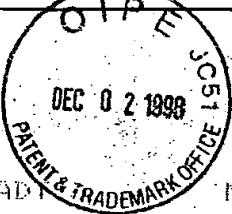


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

T-11  
4-8-98

APPLICATION NUMBER      FILING/RECEIPT DATE      FIRST NAMED APPLICANT      ATTORNEY DOCKET NO./TITLE

092194-997      08/17/98      TANAKA



0039-6348-283D

RE ISSUE

0232/0902

DEBLON SPIVAK MCCLELLAND MAIER & NEUSTADT  
FOURTH FLOOR  
1755 S JEFFERSON DAVIS HWY  
ARLINGTON VA 22202

NOT ASSIGNED

2818

DATE MAILED:

09/02/98

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

Dec 1 Due 11-2-98

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ \_\_\_\_\_.

1. The statutory basic filing fee is:

missing.  
 insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_

independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_

dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unexecuted.  
 does not cover the newly submitted items.  
 does not identify the application to which it applies.  
 does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

*A copy of this notice MUST be returned with the reply.*

DOCKET NUMBER: 0039-6348-2SRD REISSUE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:  
Tomoharu TANAKA, et al.

SERIAL NUMBER: 09/134,897

FILED: August 17, 1998



: GROUP: 2818

: ATTENTION:  
Application Division  
Customer Corrections

FOR: MULTI-STATE EEPROM HAVING WRITE-VERIFY CONTROL CIRCUIT

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

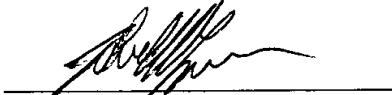
Sir:

The Patent Office is requested to provide a corrected Official Filing Receipt for the attached. If you have any questions, please do not hesitate to contact us.

No fees are required. However, in the event that a fee is required, please charge the appropriate amount to our Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/134,897	08/17/98	2818	\$2,996.00	0039-6348-2SRD	29	150	24

REISSUE

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT  
FOURTH FLOOR  
1755 S JEFFERSON DAVIS HWY  
ARLINGTON VA 22202



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

TOMOHARU TANAKA; GERTJAN HEMINK.

CONTINUING DATA AS CLAIMED BY APPLICANT-  
THIS APPLN IS A RE OF 08/308,534 09/21/94 PAT 5,570,315

FOREIGN FILING LICENSE GRANTED 08/28/98

TITLE

MULTI-STATE EEPROM HAVING WRITE-VERIFY CONTROL CIRCUIT

PRELIMINARY CLASS: 365

PLEASE NOTE THAT THE APPLICANTS' INFORMATION IS INCOMPLETE, IT SHOULD READ AS FOLLOWS:

- 1) TOMOHARU TANAKA, YOKOHAMA, JAPAN
- 2) GERTJAN HEMINK, KAWASAKI, JAPAN

RECEIVED  
SEP 08 1998

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.